



03/23/01

EXPRESS MAIL CERTIFICATE

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

| | | | |
|-----------------------|---------------------|------------------|-------------------|
| Typed or Printed Name | Margaret D. Pierce | Express Mail No. | EL 563 388 761 US |
| Signature | <i>M. D. Pierce</i> | Date | March 23, 2001 |

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|----------------------|-------------------------------------------------------------------|
| CUSTOMER NO. | 24353 |
| Docket No. | 06510223CON2 |
| First Named Inventor | Bhatnagar et al. |
| Title: | "Synthetic Compounds and Compositions with Enhanced Cell Binding" |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Address to: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form *in duplicate*
2. ☒ Specification Total Pages 39
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) Total Sheets 2
4. ☒ Oath or Declaration Total Sheets 3
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d)
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
 - c. ☐ Unsigned

5. ☐ Microfiche Computer Program (*Appendix*)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(*if applicable, all necessary*)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

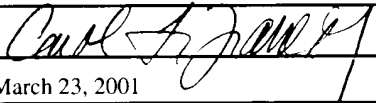
7. ☐ Assignment Papers (cover sheet & document(s))
8. ☒ Associate Power of Attorney
9. ☐ English Translation Document (*if applicable*)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(*Should be specifically itemized*)
13. ☐ Small Entity ☐ Statement filed in prior application
Statement(s) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
(*if foreign priority is claimed*)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation of prior application No. 09/328,347, filed August 8, 1999, which is a Continuation of prior application No. 08/859,610, filed May 20, 1997, which is a Divisional of prior application No. 08/278,878, filed July 22, 1994, which is a Continuation-in-Part of prior application No. 07/804,782, filed December 9, 1991, which is a Continuation-in-Part of prior application No. 07/393,621, filed August 14, 1989.

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new non-provisional applications under 37 CFR 1.53(b))

| 17. CORRESPONDENCE ADDRESS | | | |
|----------------------------|---------------------------------|-----------|----------------|
| Individual Name | Kathleen Hall | | |
| Firm Name | BOZICEVIC, FIELD & FRANCIS LLP | | |
| Address | 200 Middlefield Road, Suite 200 | | |
| City, State, Zip | Menlo Park, CA 94025 | | |
| Country | U.S.A. | | |
| Telephone | (650) 327-3400 | Facsimile | (650) 327-3231 |

| SIGNATURE of Applicant or Assignee of Record | |
|----------------------------------------------|-----------------------------------------------------------------------------------|
| Individual Name | Carol L. Francis, Reg. No. 36,513 on behalf of Kathleen S. Hall, Reg. No. 44,143 |
| Signature |  |
| Date | March 23, 2001 |

FA\DOCUMENT\6510 - UCAL\223CON2\Application Transmittal.wpd

FEE TRANSMITTAL

Note: Effective October 1, 1998.
Patent fees are subject to annual revision.

| | |
|------------------------|---------------------------|
| CUSTOMER NO. | 24353 |
| Attorney Docket Number | 510223CON2 |
| First Named Inventor | Patnagar |
| Application Number | Unassigned |
| Filing Date | Herewith (March 23, 2001) |
| Group Art Unit | Unassigned |
| Examiner Name | Unassigned |

METHOD OF PAYMENT

1. ☐ A Check Is Enclosed
2. ☒ The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815.
Deposit Account Name: Bozicevic, Field & Francis LLP

FEE CALCULATION

1. FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Due |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101 | 710 | 201 | 355 | Utility filing fee | \$710.00 |
| 102 | 320 | 206 | 160 | Design filing fee | |
| 104 | 490 | 207 | 245 | Plant filing fee | |
| 109 | 710 | 208 | 355 | Reissue filing fee | |
| 110 | 150 | 214 | 75 | Provisional filing fee | |
| Subtotal (1) | | | | | \$710.00 |

2. CLAIMS

| <u>No. of claims as filed or after amendment</u> | | | <u>Most claims previously paid</u> | | <u>Extra claims</u> | | <u>Fee from below</u> | | <u>Fee Due</u> |
|------------------------------------------------------|---|---|----------------------------------------|---|-------------------------|---|---------------------------|---|--------------------|
| Total claims | 6 | - | 20 | = | 0 | x | \$18.00 | = | \$0.00 |
| Ind. claims | 3 | - | 3 | = | 0 | x | \$80.00 | = | \$0.00 |
| Multiple Dependent claims | | | | | | x | | = | |


| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Due |
|----------------|-----------------|----------------|-----------------|------------------------------------------------------|---------|
| 103 | 18 | 203 | 9 | Claims in excess of 20 | |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 | |
| 104 | 270 | 204 | 135 | Multiple dependent claim | |
| 109 | 80 | 209 | 40 | Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | Reissue claims in excess of and over original patent | |
| Subtotal (2) | | | | | \$0.00 |

3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Due |
|------------------------------------|-----------------|----------------|-----------------|-----------------------------------------------------------|---------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 115 | 110 | 215 | 55 | Ext. for reply within first month | |
| 117 | 890 | 217 | 445 | Ext. for reply within third month | |
| 128 | 1,890 | 228 | 945 | Ext. for reply within fifth month | |
| 120 | 310 | 220 | 155 | Filing brief in support of appeal | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 123 | 50 | 123 | 50 | Petitions related to prov. appl. | |
| 146 | 710 | 246 | 355 | Filing submission after final rejection (37 CFR 1.129(a)) | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 581 | 40 | 581 | 40 | Recording patent assignment | |
| * Reduced by Basic Filing Fee Paid | | | | | |

SUBTOTAL (3)\$0.00

TOTAL AMOUNT TO BE CHARGED (\$710.00)

| | | | | | | | |
|-----------------------|--|---------------------------------------------------------------------------------------------------------------------|--|------|--------------------------|-----------------|---------|
| SUBMITTED BY | | | | | Complete (if applicable) | | |
| Typed or Printed Name | | Carol L. Francis, Reg. No. 36,513 on behalf of Kathleen S. Hall, Reg. No. 44,143, BOZICEVIC, FIELD & FRANCIS LLP | | | | | |
| Signature | |  | | Date | March 23, 2001 | Deposit Account | 50-0815 |